



COMPLIANCE WEEKLY

A Publication of Seward & Kissel Regulatory Compliance

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SKRC Compliance Weekly is a weekly reminder of certain regulatory obligations that may apply to an SEC-registered investment adviser and CFTC-registered commodity pool operator and commodity trading advisor with a December 31 fiscal year-end that advises one or more private funds.

Obligation	Comment
TIC Form SLT (due 4/24/2023).	Must be filed by “US residents” on a monthly basis to report “long term cross border ownership” of securities in excess of \$1 billion. Data on the TIC Form SLT must be reported as of the last business day of the month (as-of date). The TIC Form SLT must be submitted to the Federal Reserve Bank no later than the 23rd calendar day of the month following the report as-of date. If the due date of the report falls on a weekend or holiday, TIC Form SLT should be submitted the following business day.

If you have any questions regarding the matters covered herein, please contact any of the attorneys listed below.

Daniel Bresler
bresler@sewkis.com
212-574-1203

Debra Franzese
franzese@sewkis.com
212-574-1353

Robert M. Kurucz
kurucz@sewkis.com
(202) 661-7195

Nicholas R. Miller
millern@sewkis.com
212-574-1359

Paul M. Miller
millerp@sewkis.com
202-737-8833

Joseph M. Morrissey
morrissey@sewkis.com
212-574-1245

David R. Mulle
mulle@sewkis.com
212-574-1452

Steven B. Nadel
nadel@sewkis.com
212-574-1231

Kevin Neubauer
neubauer@sewkis.com
212-574-1355

Patricia A. Poglinco
poglinco@sewkis.com
212-574-1247

Christopher C. Riccardi
riccardi@sewkis.com
212-574-1535

Robert Van Grover
vangrover@sewkis.com
212-574-1205

Alexandra Alberstadt
alberstadt@sewkis.com
212-574-1217

Jay Baroody
baroody@sewkis.com
212-574-1347

Kevin Cassidy
cassidy@sewkis.com
(212) 574-1542

Lancelot A. King
king@sewkis.com
(202) 661-7196

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One Battery Park Plaza | New York, NY 10004
212-574-1200 | 212-480-8421 (fax) | sknyc@sewkis.com

901 K Street, NW | Washington, DC 20001
202-737-8833 | 202-737-5184 (fax) | skdc@sewkis.com

<https://compliance.sewkis.com/>

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