



# COMPLIANCE WEEKLY

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SKRC Compliance Weekly is a weekly reminder of certain regulatory obligations that may apply to an SEC-registered investment adviser and CFTC-registered commodity pool operator and commodity trading advisor with a December 31 fiscal year-end that advises one or more private funds.

Obligation	Comment
Quarterly update to Form PF for all "large hedge fund advisers" (due 11/29/2021).	Due within 60 days of the end of the third quarter.
Form CPO-PQR for all CPOs (due 11/29/2021).	Due within 60 days of the end of the third quarter.
Periodic Report for CPOs (due 11/30/2021).	CPOs are required to distribute a periodic report of their account statements within 30 calendar days of each month end. Note, however, that CPOs relying on an exemption under CFTC Rule 4.7 or Rule 4.12(b) are only required to distribute a periodic report of their account statements within 30 days of each quarter end.

If you have any questions regarding the matters covered herein, please contact any of the attorneys listed below.

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